

# Issue of Equity and Director/PDMR Shareholdings

24 January 2020

GB0033877555

## BWA GROUP PLC

(“BWA” or the “Company”)

### Issue of Equity and Director/PDMR Shareholdings

BWA Group plc, the NEX Exchange traded investment company with interests predominantly in mining resources, announces that it has allotted a total of 3,259,194 ordinary shares of 0.5 pence each in the Company (“Ordinary Shares”), at a deemed price of 0.5 pence per share, in part settlement of fees due to current and former Directors in respect of the quarter ended 31 December 2019.

Application will be made for the Ordinary Shares to be admitted to trading on the NEX Exchange Growth Market and admission is expected to become effective on 29 January 2020.

Following this issue, the Directors’ interests in the enlarged issued share capital of the Company are as follows:

Director	Number of Ordinary Shares Allotted	Resulting Ordinary Shares Held	%
Richard Battersby	799,998	43,918,980	16.01
Alex Borrelli	799,998	26,002,616	9.48
James Butterfield	799,998	43,375,299	15.81

The Company now has 274,285,065 Ordinary Shares in issue, each share carrying the right to one vote. The Company does not hold any Ordinary Shares in Treasury. The figure of 274,285,065 Ordinary Shares may be used by shareholders in the Company as the denominator for the calculations by which they will determine if they are required to notify their interest in, or a change to their interest in, the share capital of the Company under the Financial Conduct Authority’s Disclosure and Transparency Rules.

The Company also has £301,000 of 14% Convertible Unsecured Loan Notes 2020 in issue (“CLNS”), convertible into a maximum of 80,803,924 Ordinary Shares if the CLNs have not been redeemed by 31 December 2021.

The Directors of BWA are responsible for the contents of this announcement.

For further information please contact:

#### **BWA Group plc**

Richard Battersby (Non-Executive Chairman)  
07836 238 172

FTSE 100

764  
762  
760  
758  
756  
754  
752  
750  
24

1 Day \* | 1 V

#### Headlines

24-Jan-2020 0

Broker For  
PageGrou

Credit Su  
investme  
and raise  
PRO ...

24-Jan-2020 0

Pan Africa  
guidance

24-Jan-2020 0

RBG expe  
results

24-Jan-2020 0

easyHotel

24-Jan-2020 0

RTC tradin

24-Jan-2020 0

Norman B  
rises 22%

#### Company

#  
A  
B  
C  
D  
E  
F  
G  
H  
I

## Peterhouse Capital Limited

Mark Anwyl  
Allie Feuerlein  
020 7469 0930

### Market Abuse Regulation (MAR) Disclosure

The information contained within this announcement is deemed by the Company to constitute inside information as stipulated under the Market Abuse Regulation (EU) No. 596/2014. Upon the publication of this announcement via a Regulatory Information Service, this inside information is now considered to be in the public domain.

#### **Notification and public disclosure of transactions by persons discharging managerial responsibilities and persons closely associated with them.**

##### **1 Details of the person discharging managerial responsibilities / person closely associated**

a) Name RICHARD BATTERSBY

##### **2 Reason for the notification**

a) Position/status Director of BWA Group plc

b) Initial notification /Amendment  
Initial

##### **3 Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor**

a) Name BWA Group plc

b) LEI 213800XG3K7PTAAG8630

##### **4 Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted**

a) Description of the financial instrument,  
type of instrument Ordinary shares of 0.5p each  
Identification code

ISIN: GB0033877555

b) Nature of the transaction Allotment of Ordinary Shares in part settlement of remuneration

c) Price(s) and volume(s) 799,998 Ordinary Shares at 0.5p per share

d) Aggregated information  
- Aggregated volume N/A  
- Price

e) Date of the transaction 21 January 2020

f) Place of the transaction N/A

#### **Notification and public disclosure of transactions by persons discharging managerial responsibilities and persons closely associated with them.**

J  
K  
L  
M  
N  
O  
P  
Q  
R  
S  
T  
U  
V  
W  
X  
Y  
Z

Forthcom

24-Jan-2020

24-Jan-2020

24-Jan-2020

Latest dir

10:23 am S

12:12 pm I

06:19 pm C

06:06 pm C

07:00 am A

**1 Details of the person discharging managerial responsibilities / person closely associated**

a) Name ALEX BORRELLI

**2 Reason for the notification**

a) Position/status Director of BWA Group plc

b) Initial notification /Amendment  
Initial

**3 Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor**

a) Name BWA Group plc

b) LEI 213800XG3K7PTAAG8630

**4 Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted**

a) Description of the financial instrument,  
type of instrument Ordinary shares of 0.5p each

Identification code  
ISIN: GB0033877555

b) Nature of the transaction Allotment of Ordinary Shares in part settlement of remuneration

c) Price(s) and volume(s) 799,998 Ordinary Shares at 0.5p per share

d) Aggregated information  
- Aggregated volume N/A  
- Price

e) Date of the transaction 21 January 2020

f) Place of the transaction N/A

**Notification and public disclosure of transactions by persons discharging managerial responsibilities and persons closely associated with them.**

**1 Details of the person discharging managerial responsibilities / person closely associated**

a) Name JAMES BUTTERFIELD

**2 Reason for the notification**

a) Position/status Director of BWA Group plc

b) Initial notification /Amendment  
Initial

**3 Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor**

a) Name BWA Group plc

b) LEI 213800XG3K7PTAAG8630

**4 Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted**

a) Description of the financial instrument, type of instrument Identification code	Ordinary shares of 0.5p each  ISIN: GB0033877555
b) Nature of the transaction	Allotment of Ordinary Shares in part settlement of remuneration
c) Price(s) and volume(s)	799,998 Ordinary Shares at 0.5p per share
d) Aggregated information - Aggregated volume - Price	N/A
e) Date of the transaction	21 January 2020
f) Place of the transaction	N/A